



# Clinical Significance of Protocol Allograft Renal Biopsy in a Tertiary Care Centre in India

Niranjan Gogoi <sup>a++\*</sup>, Megha Agarwal <sup>b++</sup>,  
Sashank Bharadwaj <sup>a++</sup>, Dhananjai Agarwal <sup>a#</sup>,  
Kavish Sharma <sup>a++</sup> and Rakesh Gupta <sup>a†</sup>

<sup>a</sup> Department of Nephrology, SMS Medical College, Jaipur, Rajasthan, India.

<sup>b</sup> Department of Nephrology, Mahatma Gandhi Medical College, Jaipur, Rajasthan, India.

## **Authors' contributions**

*This work was carried out in collaboration among all authors. All authors read and approved the final manuscript.*

## **Article Information**

### **Open Peer Review History:**

This journal follows the Advanced Open Peer Review policy. Identity of the Reviewers, Editor(s) and additional Reviewers, peer review comments, different versions of the manuscript, comments of the editors, etc are available here: <https://www.sdiarticle5.com/review-history/108729>

**Original Research Article**

**Received: 13/09/2023**

**Accepted: 20/11/2023**

**Published: 24/11/2023**

## **ABSTRACT**

**Background:** The assessment of biopsy findings at one month post-kidney transplant is crucial in determining the success of the procedure and identifying potential complications.

**Aim:** This study aimed to investigate the association between biopsy findings at one month and donor status, as well as HLA DR (Human Leukocyte Antigen – DR isotype) mismatch, in kidney transplant recipients.

**Methods:** A total of 30 kidney transplant recipients were included in the study. Biopsy findings at one month were categorized as either normal or indicative of rejection. The association between biopsy findings and donor status (cadaveric vs. live donor) and HLA DR mismatch (present vs. absent) was analyzed using the Fisher exact test.

<sup>++</sup> DM Resident;

<sup>#</sup> Senior Professor and Head of the Department;

<sup>†</sup> Assistant Professor;

\*Corresponding author: E-mail: [dniranjan211gogoi@gmail.com](mailto:dniranjan211gogoi@gmail.com);

**Results:** Among patients who received a cadaveric donor kidney, all four (100%) exhibited normal biopsy findings at one month, with no cases of rejection observed. In contrast, among recipients of a live donor kidney, 23 (88.5%) had normal biopsy findings, while 3 (11.5%) showed signs of rejection. Regarding HLA DR Mismatch, among patients without HLA DR mismatch, 26 (96.3%) had normal biopsy findings at one month, with only 1 case (3.7%) showing rejection. Conversely, among patients with HLA DR mismatch, only 1 (33.3%) had normal biopsy findings, while 2 cases (66.7%) exhibited rejection.

**Conclusion:** Our findings suggest that HLA DR mismatch may be associated with an increased risk of biopsy findings indicative of rejection at one month post-kidney transplant. However, no significant association was observed between donor status and biopsy findings.

*Keywords: Graft dysfunction; renal transplantation; renal allograft protocol biopsy.*

## 1. INTRODUCTION

The short- and long-term survival rates following renal transplantation have improved. Allograft failure, however, continues to be a major problem [1]. For better results, it is essential to identify the causes of graft dysfunction as soon as possible. The gold standard for identifying the root cause of renal allograft failure is renal biopsy [2]. In the past, biopsies were carried out in response to clinical symptoms or abnormal lab findings. Protocol biopsies, however, can find early indications of chronic allograft nephropathy and subclinical acute rejection. These findings support the development of customised immunosuppressive regimens [3,4,5].

### 1.1 Aims and Objectives

#### 1.1.1 Primary objective

Determine the utilization of protocol biopsy in renal transplant patients for early detection of renal histological abnormalities.

#### 1.1.2 Secondary objective

Assessment of incidence of subclinical rejections and causes of allograft abnormality in renal transplant recipients and its association with recipient characteristics like age, gender, basic disease, donor characteristics like age, sex, glomerular filtration rate, relationship, HLA mismatch, induction therapy, type of maintenance immunosuppression, drug level of the calcineurin inhibitor used.

## 2. METHODOLOGY

All patients who received either a cadaveric or live donor kidney transplant over 6 months (July 2022 to Dec 2022) and had stable graft function at one month were eligible for inclusion in the study. Patients were informed about the study

before kidney transplantation. All those who met the inclusion criteria and give consent to participate were enrolled; patients were not excluded on any other grounds (Flowchart 1).

### 2.1 Study Design

Prospective cohort study

### 2.2 Study Period

July 2022 to Dec 2022.

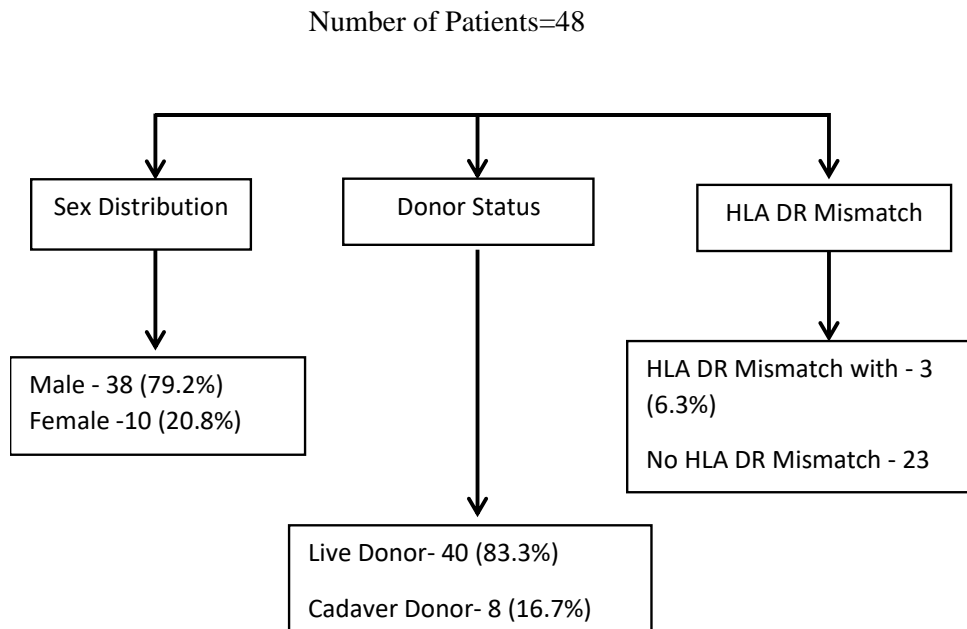
### 2.3 Inclusion Criteria

All patients who received either a cadaveric or live donor kidney transplant over 6 months (July 2022 to Dec 2022) and had stable graft function at one month were eligible for inclusion in the study.

### 2.4 Procedure

Biopsies were performed at the end of 1 month after transplantation. Patients were also undergone biopsies whenever clinically indicated. The major trigger for such biopsies were acute graft dysfunction as defined by a persistent increase of serum creatinine by >15% from baseline after excluding anatomical and surgical causes. The primary study endpoint was a 6-month serum creatinine value and urine examination for proteinuria.

The choice of immunosuppressive agents for induction was decided on a case-to-case basis after an assessment of immunological risk. For maintenance immunosuppression, all patients received a standard triple-drug regime consisting of a CNI (tacrolimus/cyclosporine), antimetabolite (mycophenolate mofetil), and prednisolone. Tacrolimus dose was adjusted to keep the trough level at 7–10 ng/ml for the first three months, and



**Flowchart 1. Demographic profile of patients**

3-7 ng/ml for subsequent months according to standard guidelines. Cyclosporine dose was adjusted to keep the two-hour post-dose cyclosporine (C2) level at 800-1000 ng/ml for the first three months, and 400-600 ng/ml for subsequent months.

All post-transplant biopsies were done under ultrasound guidance using spring-loaded 18-gauge biopsy gun under aseptic conditions and local anaesthesia with 2% lignocaine. Two cores were obtained. The standard procedure of renal biopsy was followed. Biopsies were analysed by light microscopy and immunofluorescence by experienced pathologists and scored using Banff's schema. Electron microscopy analysis was done if required. C4d staining was also performed.

CNI (tacrolimus/cyclosporine) drug level was monitored in 1<sup>st</sup> month as a routine practice and whenever clinically indicated.

All histologically proven (as classified by Banff schema) acute rejection (AR) episodes (clinical and subclinical) were treated according to standard operative practice therapy. Patients with borderline rejection (BL) changes received any specific treatment, except CNI dose adjustment to maintain blood levels in the upper range of target values, and were followed up. Patients with evidence of CNI toxicity on protocol biopsies were undergone 25–50% reduction in CNI dose in one step and then further

modification as per CNI level. The diagnosis of BKV nephropathy was confirmed by immunohistochemistry using stain SV40.

## 2.5 Statistical Analysis

The SPSS statistical package was used for data analysis. Student's *t*-test and Fisher exact test were used to compare continuous and categorical data, respectively. A *p*-value of less than 0.05 was considered statistically significant. Multiple linear regression analysis was used to measure the effect of donor age, HLA mismatches, mycophenolate mofetil (MMF) use and protocol biopsy on outcome measures.

## 3. RESULTS

The mean age of all the patients was 34.63±10.61 years. Out of 48 cases, most of the patients (79.2%, 38/48) were male, and the rest 10(20.8%) were female. The majority of them (83.3%, 40/48) have received from a live person, and the rest eight (16.7%) received from a cadaver. Out of 48 patients, two (4.2%) patients died (1 cadaver and 1live related), two (4.2%) patients had a loss to follow-up, and one (2.1%) had a nephrectomy. Around one-third (30.2%, 13/43) of patients had indicated biopsy before one month based on rise in serum creatinine. Out of eight patients who received from a cadaver, one (12.5%) died, two (25%) patients needed indicated biopsy before one month and

**Table 1. Association of biopsy finding at one month with donor status and HLA DR mismatch using fisher exact test**

Variable		Biopsy finding at 1 month		Total	p-value
		Normal	Rejection		
Donor Status	Cadaver	4(100)	0	4(100)	1.000 (IS)
	Live	23(88.5)	3(11.5)	26(100)	
HLA DR Mismatch (Live related)	No	22(95.6)	1(4.4)	23(100)	0.020 (S)
	Yes	1(33.3)	2(66.7)	3(100)	

one (12.5%) was loss to follow-up and rest four (50%) cases had normal protocol biopsy findings at one month. Out of 30 patients who had a biopsy at one month, most of them (90%, 27/30) patients had normal biopsy findings at the end of one month while one patient had antibody mediated rejection ((ABMR), one had T cell mediated rejection (TCMR) 1A, and the rest one had TCMR 2A (Banff criteria 2019). The p-value for the association between donor status and biopsy findings at one month was found to be 1.000, indicating no significant association (Table1).

Among patients without HLA DR mismatch, 22 (95.6%) had normal biopsy findings at one month, with only 1 case (4.4%) showing rejection. In contrast, among patients with HLA DR Mismatch, only 1 (33.3%) had normal biopsy findings, while 2 cases (66.7%) showed rejection (Table1). The p-value for the association between HLA DR mismatch and biopsy findings at one month was found to be 0.020, indicating a statistically significant association. At the end of 6 month all the protocol biopsied patient had stable graft with normal kidney function.

#### 4. DISCUSSION

This preliminary analysis of our early protocol biopsy experiences aimed to evaluate the presence of subclinical rejection in kidney transplant recipients with stable or improved renal function. Although these patients had no clinical indication for renal allograft biopsy, we discovered that over 11.5% of them exhibited signs of subclinical rejection, ranging from Banff 1A to Banff 2A. These findings align with previous studies by Rush et al., which suggested that subclinical acute tubulitis is frequently present but often goes unrecognized [6,7,8,9]. Thus, it is possible that we underestimated the actual incidence of acute rejection in our patient population.

Interestingly, our study revealed a slight preponderance of rejection in patients with HLA-DR mismatch (human leucocyte antigen DR),

with two live donor recipients displaying subclinical rejection at one month on protocol biopsy. Choi et al. also reported a similar association between HLA-DR mismatch and the incidence of subclinical rejection [2]. Moreover, the incidence of subclinical rejection was found to be dose-dependent on the number of HLA-DR mismatches, as demonstrated by Rush et al. [10]. Patients without HLA-DR mismatches had a 20% incidence of subclinical rejection, while those with one and two HLA-DR mismatches had incidence rates of 30% and 63%, respectively.

Our findings are consistent with the study conducted by Fu MS et al., which emphasized the importance of performing protocol biopsies in transplant recipients with stable renal function to detect various unsuspected lesions, including subclinical rejection, chronicity, calcineurin inhibitor (CNI) toxicity, recurrent primary disease, de novo glomerulopathy, BK Polyoma virus nephropathy, and asymptomatic urinary tract infections [11]. Early detection of these conditions through protocol biopsies can potentially lead to improved long-term graft survival. Additionally, the study by Kumar et al. demonstrated the safety and utility of protocol biopsies performed at 3 months after transplantation using real-time ultrasound guidance for early identification of subclinical histological abnormalities [12].

Our preliminary analysis of early protocol biopsies has revealed a significant proportion of patients displaying signs of subclinical rejection, indicating that the actual incidence of acute rejection may have been underestimated in our patient population. The observed preponderance of rejection in patients with HLA-DR mismatch supports previous findings linking HLA-DR mismatch and the occurrence of subclinical rejection. These results emphasize the importance of recognizing and appropriately managing subclinical rejection in kidney transplant recipients, particularly in those with HLA-DR mismatch and unrelated donor status.

## 5. CONCLUSION

The protocol biopsy of renal transplant recipients is a valuable tool for monitoring graft function and detecting early signs of rejection. Early detection and treatment of rejection can improve graft survival and patient outcomes. The histological examination of renal tissue samples obtained from the protocol biopsy can provide valuable information about graft function and the presence of rejection, which can guide immunosuppressive therapy and improve patient outcomes. The protocol biopsy is a safe and minimally invasive procedure that should be considered as part of the routine management of renal transplant recipients.

## CONSENT

The study was conducted after getting informed consent from patients.

## ETHICAL APPROVAL

The study protocol was approved by the Institute Ethics Committee, Jaipur, India.

## COMPETING INTERESTS

Authors have declared that no competing interests exist.

## REFERENCES

1. Shapiro R, Randhawa P, Jordan ML et al. Analysis of early renal transplant protocol biopsies—the high incidence of subclinical tubulitis. *Am J Transplant.* 2001;1:47–50.
2. Choi BS, Shin MJ, Shin SJ, Kin YS, Choi YJ, Kim Y-S, Moon IS, Kin SY, Koh YB, Bang BK, Yang CW: Clinical significance of an early protocol biopsy in living-donor renal transplantation: Ten-year experience at a single center. *Am J Transplant.* 2005; 5:1354–1360.
3. Legendre CE, Thervet H, Skhiri et al. Histological features of chronic allograft nephropathy revealed by protocol biopsies in kidney transplant recipients. *Transplantation Journal.* 1998;65(11): 1506–1509.
4. Nankivell BJ, Borrows RJ, Fung CL-S, O'Connell PJ, Allen RDM, Chapman JR. Natural history, risk factors, and impact of subclinical rejection in kidney transplantation, *Transplantation.* 2004;78(2) :242–249.
5. Nankivell BJ, Fenton-Lee CA, Kuypers DR, Cheung E, Allen RD. Effect of histological damage on long-term kidney transplant outcome, *Transplantation.* 2001;71(4): 515–523.
6. Rush DN, Jeffery JR, Gough J. Sequential protocol biopsies in renal transplant patients, *Transplantation.* These early changes can be picked by protocol renal biopsy. 1995;59(4):511–514
7. Rush D, Nickerson P, Gough J, et al. Beneficial effects of treatment of early subclinical rejection: a randomized study. *J Am Soc Nephrol.* 1998;9:2129–2134. [PubMed] [Google Scholar]
8. Rush DN, Nickerson P, Jeffery RJ, McKenna R, Grimm PC, Gough J. Protocol biopsies in renal transplantation: research tool or clinically useful? *Curr Opin Nephrol Hypertens.* 1998;7:691–694. [PubMed] [Google Scholar]
9. Rush DN, Karpinski ME, Nickerson P, Dancea S, Birk P, Jeffery JR. Does subclinical rejection contribute to chronic rejection in renal transplant patients? *Transplantation.* 1999;13:441–446.[PubMed] [Google Scholar]
10. Rush DN, Gough J. Predicting rejection: Is early diagnosis achievable and important? *Graft.* 1999;2:S31–S35.
11. Fu MS, Lim SJ, Jalalonmuhali M, Ng KS, Lim SK, Ng KP. Clinical Significance of Renal Allograft Protocol Biopsies: A Single Tertiary Center Experience in Malaysia. *J Transplant.* 2019;2:9153875. PMID: 31186948; PMCID: PMC6521333. DOI: 10.1155/2019/9153875.
12. Kumar Vinod K\*; Sathyan Jeena J, Prasannan, Minnu1, Urs, Vishnu Dev; Prasannan Bipi, Unni, Narayanan V. Utility of Protocol Biopsy in the Management of Renal Allograft Recipients. *Indian Journal of Transplantation.* 2023;17(1):31-36. DOI: 10.4103/ijot.ijot\_50\_22.

© 2023 Gogoi et al.; This is an Open Access article distributed under the terms of the Creative Commons Attribution License (<http://creativecommons.org/licenses/by/4.0>), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Peer-review history:

The peer review history for this paper can be accessed here:  
<https://www.sdiarticle5.com/review-history/108729>